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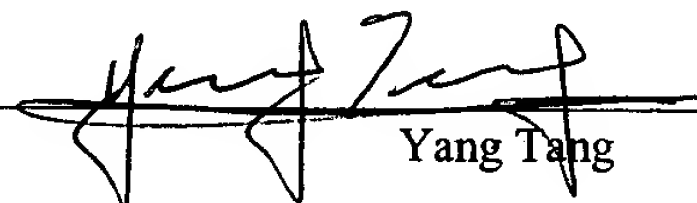
Attorney Docket No. SABI-005/05US

PATENT

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#7

By:


Yang Tang

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of LIU

Serial No.: 09/989,994

Examiner: Unassigned

Confirmation No.: 1661

Art Unit: 1655

Filed: November 20, 2001

For: POSITION DEPENDENT RECOGNITION OF GNN NUCLEOTIDE
TRIPLETS BY ZINC FINGERS

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Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

☐ Please charge \$___ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.


The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 4 Mar 03

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

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- ☒ Enclosed is a copy of a non-English publication (EP 875 567). English language publication (6,160,091) (copy enclosed) claims priority from the same PCT application as this non-English publication.
- ☒ An explanation of non-English publication (WO 96/11267) for which an English translation is not available is as follows:
- WO 96/11267 relates to a DNA sequence from the cervical carcinoma cell line E180 which encodes a zinc finger protein. WO 96/11267 discloses both the nucleic acid sequence and the encoded amino acid sequence of the zinc finger protein. Additionally, the reference relates to the use of the disclosed zinc finger protein in a diagnostic capacity. Specifically, the affinity of the disclosed zinc finger protein for a target DNA sequence is described. Applicants also direct the Examiner's attention to the English language abstract on the cover page of WO 96/11267.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 4 Mar 03

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INFORMATION DISCLOSURE CITATION

PTO FORM 1449

Attorney Docket No.
S11-US2
(SABI-005/05US))

U.S. Serial No.
09/989,994

Applicant: LIU

Filing Date: November 20, 2001

Group Art Unit 1655

Examiner: Unassigned

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Ex'r Initials	Ref No.	Document No.	Date	Name	Class	Subclass	Filed
	A-1	4,990,607	February 5, 1991	Katagiri et al.			
	A-2	5,096,814	March 17, 1992	Aivasidis et al.			
	A-3	5,096,815	March 17, 1992	Ladner et al.			
	A-4	5,198,346	March 30, 1993	Ladner et al.			
	A-5	5,223,409	June 29, 1993	Ladner et al.			
	A-6	5,243,041	September 7, 1993	Fernandez-Pol			
	A-7	5,302,519	April 12, 1994	Blackwood et al.			
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							YES	NO
	B-1	WO 92/02536	February 20, 1992	PCT				
	B-2	WO 95/11922	May 4, 1995	PCT				
	B-3	WO 95/19431	July 20, 1995	PCT				
	B-4	WO 96/06110	February 29, 1996	PCT				

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C-44	Fairall et al., "The Crystal Structure of a Two Zinc-Finger Peptide Reveals an Extension to the Rules for Zinc-Finger /DNA Recognition," <i>Nature</i> 366:483-487 (1993)
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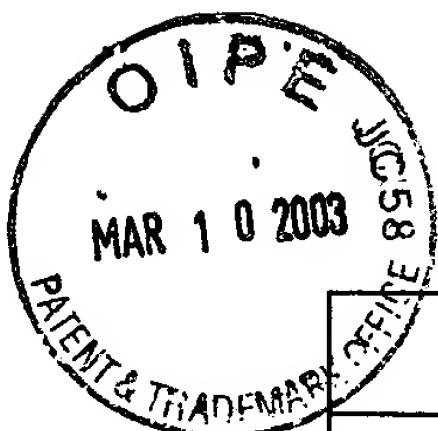


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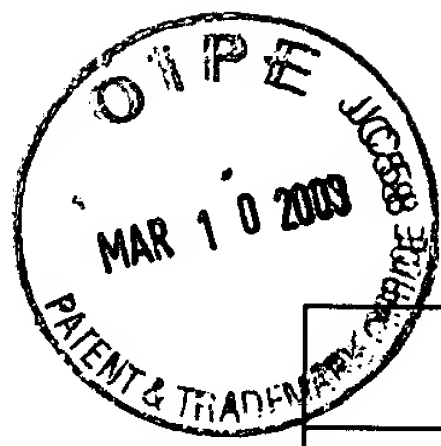
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